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DATE MAILED: 10/02/2003

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 07/19/2000 CHEM1110 09/619,047 Jay Leng 1555 EXAMINER 7590 10/02/2003 Lisa A Haile PhD PAK, YONG D Gray Cary Ware & Freidenrich LLP PAPER NUMBER ART UNIT 4365 Executive Drive **Suite 1600** 1652 San Diego, CA 92121-2189

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application | n No.     | Applicant(s)   |
|---|-------------|-----------|--|
| Office Action Summary   | 09/619,047  | 7         | LENG, JAY  |
|   | Examiner    |           | Art Unit   |
|   | Yong D Pal  |           | 1652   |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |             |           |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |             |           |  |
| 1) Responsive to communication(s) filed on <u>17 September 2002</u> .   |             |           |  |
| 2a) ☑ This action is <b>FINAL</b> . 2b) ☐ This action is non-final.   |             |           |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is  |             |           |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  |             |           |  |
| 4)⊠ Claim(s) <u>1,3 and 5-8</u> is/are pending in the application.  |             |           |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.  |             |           |  |
| 5) Claim(s) is/are allowed.   |             |           |  |
| 6)⊠ Claim(s) <u>1</u> is/are rejected.  |             |           |  |
| 7)⊠ Claim(s) <u>3 and 5-8</u> is/are objected to.   |             |           |  |
| 8) Claim(s) are subject to restriction and/or election requirement.   |             |           |  |
| Application Papers  |             |           |  |
| 9) The specification is objected to by the Examiner.  |             |           |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  |             |           |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |             |           |  |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  |             |           |  |
| If approved, corrected drawings are required in reply to this Office action.  |             |           |  |
| 12) The oath or declaration is objected to by the Examiner.   |             |           |  |
| Priority under 35 U.S.C. §§ 119 and 120   |             |           |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |             |           |  |
| a) All b) Some * c) None of:  |             |           |  |
| 1. Certified copies of the priority documents have been received.   |             |           |  |
| 2. Certified copies of the priority documents have been received in Application No  |             |           |  |
| <ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |             |           |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  |             |           |  |
| a) ☐ The translation of the foreign language provisional application has been received.  15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  |             |           |  |
| Attachment(s)   |             |           |  |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449) Paper No(s)</li> </ol>  |             | · <u></u> | y (PTO-413) Paper No(s) Patent Application (PTO-152) |

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#### **DETAILED ACTION**

The amendment filed on September 17, 2002, amending claim 1 and canceling claims 4 and 9-65, has been entered.

Claims 1, 3, and 5-8 are pending.

Rejections and/or objections not reiterated from previous Office action are hereby withdrawn.

#### Information Disclosure Statement

The information disclosure statement (IDS) submitted on November 18, 2002 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

### Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 1 is rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

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Claim 1 is drawn to a modified *Renilla* luciferase having any recognition sites cleavable by any protease. Since there is no limit to structure of the polypeptide, these claims are drawn to a genus of polypeptides of unlimited structure described by the function of having a decrease luciferase activity upon cleavage by a protease.

Therefore, the specification fails to describe other representative species by identifying characteristics or structural properties other than the functionality of being a polypeptide cleavable by a protease, wherein cleavage results in a decrease in luciferase activity.

Given this lack of description of the representative species encompassed by the genus of the claims, the specification fails to sufficiently describe the claimed invention in such full, clear, concise, and exact terms that a skilled artisan would recognize that applicants were in possession of the inventions of claim 1.

Claim 1 is rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for a Renilla luciferase substituted with a recognition sites recited in claim 3 at residues 197-200 of SEQ ID NO:2, does not reasonably provide enablement for luciferases substituted with an unknown recognition site at residues 197-200. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention commensurate in scope with these claims.

Factors to be considered in determining whether undue experimentation is required are summarized in <u>In re Wands 858 F.2d 731, 8 USPQ2nd 1400 (Fed. Cir. 1988)</u>. They include (1) the quantity of experimentation necessary, (2) the amount of

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direction or guidance presented, (3) the presence or absence of working examples, (4) the nature of the invention, (5) the state of the prior art, (6) the relative skill of those in the art, (7) the predictability or unpredictability of the art, and (8) the breadth of the claims.

The specification teaches various recognition site that can be substituted at position 197-200 of SEQ ID NO:2 wherein cleavage by a protease results in a decreased luciferase activity. But the claims are drawn to a modified luciferase having any recognition site cleavable by any proteases.

Therefore, one of ordinary skill would require guidance in order to make a modified luciferase substituted with any recognition sites at residues 197-200 in a manner reasonable correlated with the scope of the claims. Without such guidance, the experimentation left to those skilled in the art is undue.

## Allowable Subject Matter

Claims 3 and 5-8 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yong Pak whose telephone number is 703-308-9363. The examiner can normally be reached on 8:00 A.M. to 4:30 P.M weekdays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ponnathapu Achutamurthy can be reached on 703-308-3804. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9310 for regular communications and 703-746-7240 for After Final communications.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0196.

Yong Pak Patent Examiner

October 1, 2003

PONNATHAPU ACHUTAMURTHY SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 1600